

**MINUTES OF CITY OF MOUNT GAMBIER  
AUDIT COMMITTEE MEETING  
HELD AT THE COUNCIL CHAMBER, CIVIC CENTRE, 10 WATSON TERRACE, MOUNT  
GAMBIER  
ON MONDAY, 8 NOVEMBER 2021 AT 5.30 P.M.**

**PRESENT:** Cr Sonya Meziniec, Mr Paul Duka

**IN ATTENDANCE:** Tim Muhlhausler, Galpins

<b>OFFICERS IN ATTENDANCE:</b>	Chief Executive Officer	- Mrs S Philpott
	General Manager City Infrastructure	- Ms B Cernovskis
	General Manager Shared Services	- Mr D Barber
	Manager Finance	- Mrs J Scoggins
	Manager Organisational Development	- Ms R Datta
	Executive Administrator Community Wellbeing	- Ms A Lavia

**1 ACKNOWLEDGEMENT OF COUNTRY**

**WE ACKNOWLEDGE THE BOANDIK PEOPLES AS THE TRADITIONAL CUSTODIANS OF THE LAND WHERE WE MEET TODAY. WE RESPECT THEIR SPIRITUAL RELATIONSHIP WITH THE LAND AND RECOGNISE THE DEEP FEELINGS OF ATTACHMENT OUR INDIGENOUS PEOPLES HAVE WITH THIS LAND.**

**2 APOLOGY(IES)**

**COMMITTEE RESOLUTION**

Moved: Cr Sonya Meziniec

Seconded: Paul Duka

That the apology(ies) from Mayor Lynette Martin be received.

**CARRIED**

**3 CONFIRMATION OF MINUTES**

**COMMITTEE RESOLUTION**

Moved: Cr Sonya Meziniec

Seconded: Paul Duka

That the minutes of the Audit Committee meeting held on 20 September 2021 be confirmed as an accurate record of the proceedings of the meeting.

**CARRIED**

**4 QUESTIONS WITHOUT NOTICE**

Nil



## 5 REPORTS

### 5.1 CORRESPONDENCE RECEIVED

#### COMMITTEE RESOLUTION

Moved: Paul Duka  
Seconded: Cr Sonya Mezinec

1. That Audit Committee Report No. AR21/71204 titled 'Correspondence Received' as presented on 08 November 2021 be noted.

**CARRIED**

The Presiding Member sought the approval of at least two-thirds of the members present at the meeting to suspend meeting procedures:

Purpose of the Suspension: To discuss the self-assessment of performance for the Audit Committee  
Carried by more than two-thirds of the members present at the meeting.

Meeting Procedures were suspended at 5:45 pm.

The Presiding Member determined that the period of suspension should be brought to an end;  
Carried by more than two-thirds of the members present at the meeting.

The Period of Suspension came to an end and Meeting Procedures resumed at 5:52 pm.

### 5.2 SELF-ASSESSMENT OF PERFORMANCE AUDIT COMMITTEE

#### COMMITTEE RESOLUTION

Moved: Cr Sonya Mezinec  
Seconded: Paul Duka

1. That Audit Committee Report No. AR21/62432 titled 'Self-assessment of Performance Audit Committee' as presented on 08 November 2021 be noted.
2. That the Audit Committee:
  - (a) Authorise the General Manager Shared Services in liaison with the Presiding Member to make any minor amendments to the attached draft Audit Committee Self-Assessment Annual Report 2020/2021 that the Committee identifies and to then finalise the document; and
  - (b) Recommend to Council that the Audit Committee's Self-Assessment Report for 2020/21 be adopted.

**CARRIED**



### 5.3 POLICY REVIEW - B300 BUDGET FRAMEWORK

#### COMMITTEE RESOLUTION

Moved: Paul Duka

Seconded: Cr Sonya Meziniec

1. That Audit Committee Report No. AR21/62434 titled 'Policy Review - B300 Budget Framework' as presented on 08 November 2021 be noted.
2. That the Audit Committee:
  - (a) Determine if it wishes to make any adjustments to the draft revised policy.
  - (b) Recommend to Council that Council adopts the proposed revised B300 Budget Framework Policy.

**CARRIED**

### 5.4 POLICY REVIEW - T150 - TREASURY MANAGEMENT

#### COMMITTEE RESOLUTION

Moved: Cr Sonya Meziniec

Seconded: Paul Duka

1. That Audit Committee Report No. AR21/62435 titled 'Policy Review - T150 - Treasury Management' as presented on 08 November 2021 be noted.
2. That the Audit Committee:
  - (a) Determine if it wishes to make any adjustments to Council with regard to the updated policy.
  - (b) Recommend to Council that Council adopts the updated Treasury Management Policy as presented.

**CARRIED**

### 5.5 COUNCIL INVESTMENTS & BORROWINGS

#### COMMITTEE RESOLUTION

Moved: Paul Duka

Seconded: Cr Sonya Meziniec

1. That Audit Committee Report No. AR21/71874 titled 'Council Investments & Borrowings' as presented on 08 November 2021 be noted.

**CARRIED**



## 5.6 REVIEW OF DRAFT AUDIT AND RISK COMMITTEE TERMS OF REFERENCE

### COMMITTEE RESOLUTION

Moved: Paul Duka

Seconded: Cr Sonya Meziniec

1. That Audit Committee Report No. AR21/67856 titled 'Review of Draft Audit and Risk Committee Terms of Reference' as presented on 08 November 2021 be noted.
2. That the Audit Committee:
  - (a) Authorises the General Manager Shared Services in liaison with the Presiding Member to make any minor amendments to the draft Audit and Risk Committee Terms of Reference that the Committee identifies and to then finalise the document; and
  - (b) Recommend to Council that the draft Audit and Risk Committee Terms of Reference be adopted.

**CARRIED**

## 6 MOTIONS WITHOUT NOTICE

Nil



## 7 CONFIDENTIAL ITEMS

### 6.1 CITY OF MOUNT GAMBIER - IT ENTITY CONTROLS REVIEW 2020/21 – REPORT NO. AR21/71285

#### COMMITTEE RESOLUTION

Moved: Cr Sonya Mezinec

Seconded: Paul Duka

#### CONSIDERATION FOR EXCLUSION OF THE PUBLIC

Pursuant to section 90(2) of the *Local Government Act 1999* the Audit Committee orders that all members of the public, except Councillor S Mezinec, Independent Member P Duka, Auditor T Muhlhausler and Council Officers S Philpott, B Cernovskis, D Barber, J Scoggins, R Datta and A Lavia be excluded from attendance at the meeting for the receipt, discussion and consideration in confidence of Agenda Item 6.1 AR21/71285 City of Mount Gambier - IT Entity Controls Review 2020/21.

The Audit Committee is satisfied that, pursuant to section 90(3) (b), (e) and (g) of the Act, the information to be received, discussed or considered in relation to the Agenda Item is:

- information the disclosure of which could reasonably be expected to confer a commercial advantage on a person with whom the Council is
  - conducting business; or
  - proposing to conduct business; or
  - to prejudice the commercial position of the Council
- matters affecting the security of
  - the Council, or
  - Council Members, or
  - employees of the Council, or
  - Council property, or
  - the safety of any person
- information concerning matters that must be considered in confidence in order to ensure that the Council does not:
  - breach any law, order or direction of a court or tribunal constituted by law,
  - breach any duty of confidence, or
  - breach any other legal obligation or duty

The Audit Committee is satisfied that the principle that the meeting be conducted in a place open to the public has been outweighed in the circumstances because the information to be considered with this item relates to the security of Council property comprising information, data and information systems, including information of a commercial nature relating to Council systems, and provided on a confidential basis by Council's Auditors. The disclosure of this information would breach the confidence of the party that provided the information and could reasonably be expected to create a security risk for Council and potential advantage to 3rd party service providers and prejudice the commercial position of Council in service negotiations. The public interest in the non-disclosure of this information lies in protecting the community from unnecessary and avoidable costs associated with managing data security.

**CARRIED**



The Presiding Member sought the approval of at least two-thirds of the members present at the meeting to suspend meeting procedures:

Purpose of the Suspension: To discuss the City of Mount Gambier IT Entity Controls Review 2020/21

Carried by more than two-thirds of the members present at the meeting.

Meeting Procedures were suspended at 6:21 pm.

The Presiding Member determined that the period of suspension should be brought to an end;

Carried by more than two-thirds of the members present at the meeting.

The Period of Suspension came to an end and Meeting Procedures resumed at 6:34 pm.

**COMMITTEE RESOLUTION**

Moved: Cr Sonya Meziniec

Seconded: Paul Duka

**CONSIDERATION FOR KEEPING ITEMS CONFIDENTIAL**

1. In accordance with Sections 91(7) and 91(9) of the *Local Government Act 1999* the Council orders that the report 6.1 AR21/71285 City of Mount Gambier - IT Entity Controls Review 2020/21 and its attachments, the discussion and the resolution/s and minutes arising from the report, having been considered by the Council in confidence under Section 90(2) & (3) (b), (e) and (g) be kept confidential and not available for public inspection until the matters contained in the report have been satisfactorily addressed.
2. Further that Council delegates the power to review, revoke, but not extend the confidential order to the Chief Executive Officer in accordance with the provisions of Section 91(9)(c) of the *Local Government Act 1999*.

**CARRIED**

**8 MEETING CLOSE**

**The Meeting closed at 6:41 pm.**

**The minutes of this meeting were confirmed at the Audit Committee held on 7 February 2022.**

.....  
**PRESIDING MEMBER**

